



BEST AVAILABLE COPY

Docket No. YO999-390 # 4

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ON-LINE NEGOTIATIONS WITH DYNAMIC PROFILING

the specification of which (check one)

☐ is attached hereto.☒ was filed on September 29, 1999 as United States Application Number 09/407,434

or PCT International Application Number _____

and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | Priority Claimed |
|---|--|
| (Number) _____ (Country) _____ (Day/Month/Year Filed) _____ | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| (Number) _____ (Country) _____ (Day/Month/Year Filed) _____ | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| (Number) _____ (Country) _____ (Day/Month/Year Filed) _____ | <input type="checkbox"/> Yes <input type="checkbox"/> No |

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

| | |
|----------------------------|---------------------|
| (Application Number) _____ | (Filing Date) _____ |
| (Application Number) _____ | (Filing Date) _____ |

I hereby claim the benefit under 35 U.S.C. §120 of any United States Application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| | | |
|--------------------------------|---------------------|---|
| (Application Serial No.) _____ | (Filing Date) _____ | (Status) (patented, pending, abandoned) _____ |
| (Application Serial No.) _____ | (Filing Date) _____ | (Status) (patented, pending, abandoned) _____ |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Manny W. Schecter (Reg. 31,722), Terry J. Ilardi (Reg. 29,936), Christopher A. Hughes (Reg. 26,914), Edward A. Pennington (Reg. 32,588), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Douglas W. Cameron (Reg. 31,596), Louis P. Herzberg Reg. 41,500), Kevin M. Jordan (Reg. 40,277), Stephen C. Kaufman (Reg. 29,551), Daniel P. Morris (Reg. 32,053), Louis J. Percello (Reg. 33,206), Jay P. Sbrollini (Reg. 36,266), David M. Shofi (Reg. 39,835) and Robert M. Trepp (Reg. 25,933), Paul J. Otterstedt (Reg. 37,411)

Send Correspondence to: Stephen C. Kaufman, Intellectual Property Law Dept.IBM Corporation, P.O. Box 218, Yorktown Heights, New York 10598Direct Telephone Calls to: (name and telephone number) Stephen C. Kaufman (914)945-3197Alok Aggarwal

Full name of sole or first inventor

Alok Aggarwal

Inventor's Signature

October 6, 1999

Date

689 Quaker Street, Chappaqua, New York 10514

Residence

India

Citizenship

same as above

Post Office Address

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Docket No. Y0999-390

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Parul Alok Mittal

Full name of second joint-inventor, if any

Parul Alok Mittal

Inventor's signature

6/10/99 (dd/mm/yy)

Date

70 Gautam Apartments, DDA Flats, Gautam Nagar, New Delhi 110049

Residence

India

Citizenship

Post Office Address

Full name of third joint-inventor, if any

Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fourth joint-inventor, if any

Inventor's Signature

Date

Residence

Citizenship

Post Office Address

Full name of fifth joint inventor, if any

Inventor's Signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth joint-inventor, if any

Inventor's signature

Date

Residence

Citizenship

Post Office Address

Assignment

Whereas, we

INVENTOR
AND CITY

(1) Alok Aggarwal
County of Westchester

of Chappaqua
and State of New York

INVENTOR
AND CITY

(2) Parul A. Mittal
New Delhi

of Gautam Nagar
and Country of India

TITLE

have invented certain improvements in
ON-LINE NEGOTIATIONS WITH DYNAMIC PROFILING
and executed, respectively, a United States patent application therefor on

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

(1) 19 , and (2) 19

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY AND
DATE

(1) at
on Nov. 16 , 1999,

Alok

Alok

FIRST NAME

Aggarwal

Aggarwal

MIDDLE INITIAL

LAST NAME

SIGNATURE

CITY AND
DATE

(2) at
on Nov. 16 , 1999,

Parul Aggarwal

Parul

FIRST NAME

A.

MIDDLE INITIAL

Mittal

LAST NAME

SIGNATURE

State of)ss.:
County of)

(1) On this day of , 1999, before me personally came Alok Aggarwal to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Notary

State of)ss.:
County of)

(2) On this day of , 1999, before me personally came Parul A. Mittal to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Notary